

CUNO-170.2



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
BASSETT, Laurence et al.)
)
Serial No.: 10/734,498)
)
Filing Date: December 12, 2003)
)
Title: KEYED FILTER ASSEMBLY)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

INFORMATION DISCLOSURE STATEMENT

- ☒ 1. Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]
- ☐ 2. Certification Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
- ☐ 3. Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
- ☐ 4. Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)
[before issue fee payment]
- ☒ 5. A list of documents on form PTO-1449 together with copies of each identified document is enclosed herewith.

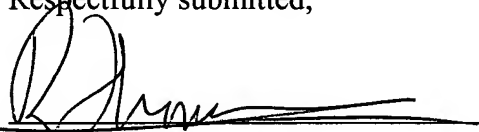
This paper is submitted in accordance with:

- ☒ 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]

- ☐ 7. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ 8. The required certification made in item 11 below; or
- ☐ 9. The \$180.00 fee specified in 37 CFR § 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 10. 37 CFR §1.97(d): [before issue fee payment];
- ☐ 11. Each item of information contained in this Statement was cited in a communication from a foreign patent office in a related foreign application not more than three months prior to the filing of this Statement; or
- ☐ 12. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 13. Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 033879. An original and one (1) copy of this document is enclosed.

Respectfully submitted,

3/9/04
Date

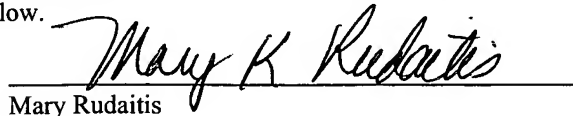

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: 3-10-04, 2004


Mary Rudaitis

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING DATE OR
BEFORE MAILING OF FIRST OFFICE ACTION**


S I R:

In accordance with 37 C.F.R. §1.56, the materials listed on the attached PTO-1449 form are being brought to the Examiner's attention for consideration in connection with the examination of the above-identified patent application. Pursuant to 37 C.F.R. 1.98(d), the references cited are not enclosed because complete copies have been submitted in connection with parent applications numbers 09/553,982, filed April 20, 2000; and 10/208,492, filed July 30, 2002, of which this patent application is a Continuation-in-Part Application. If the

Examiner requires copies of any of the references cited herein; please do not hesitate to contact the undersigned.

3/9/04
Date

Respectfully submitted,


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CERTIFICATE OF MAILING

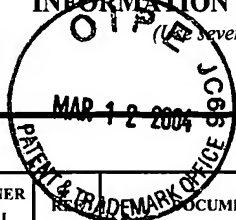
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Date: 3-10-04


Mary K Rudaitis

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

CUNO-170.2

Application Number

10/734,498

Applicant(s)

BASSETT, Laurence et al.

Filing Date

12/12/2003

Group Art Unit

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,826,854	10/27/1998	Janvrin et al.			
	2001/0030148 A1	10/18/2001	Knight			

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Substitute for form 1449A/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	10/734,498	
			Filing Date	12/12/2003	
			First Named Inventor	BASSETT, Laurence et al.	
			Art Unit		
			Examiner Name		
Sheet	1	of	1	Attorney Docket Number	CUNO-170.2

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		US- 4,515,692		05/07/1985	Chandler et al.	
		US- 4,645,601		02/24/1987	Regunathan et al.	
		US- 4,731,183		03/15/1988	Schumacher, II	
		US- 4,735,716		04/05/1988	Petrucci et al.	
		US- 4,806,240		02/21/1989	Giordano et al.	
		US- 4,857,189		08/15/1989	Thomsen et al.	
		US- 4,904,382		02/27/1990	Thomsen	
		US- 4,956,086		09/11/1990	Thomsen et al.	
		US- 5,035,797		07/30/1991	Janik	
		US- RE 34,031		08/18/1992	Thomsen et al.	
		US- 5,180,015		01/19/1993	Ringgenberg et al.	
		US- 5,186,829		02/16/1993	Janik	
		US- 5,354,464		10/11/1994	Slovak et al.	
		US- 5,486,288		01/23/1996	Stanford et al.	
		US- 5,560,824		10/01/1996	Sann et al.	
		US- 5,766,463		06/16/1998	Janik et al.	
		US- 5,837,137		11/17/1998	Janik	
		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind ⁵ (if known)				
		PCT	WO 94 02407		02/03/1994			
		EP	EP 0 395 197		10/31/1990			
		DE	DE 40 21 790		01/16/1992			
		PCT	WO 97 45362		12/04/1997			
		EP	EP 0 580 501		01/26/1994			

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.